

# 3.1. Functional Dependency

In this lecture we look at...

[[Section notes](#) PDF 64Kb]

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## 3.1.01. Introduction

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- What is relational design?
  - Notion of attribute distribution
  - Conceptual-level optimisation
- How do we assess the quality of a design?

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## 3.1.02. Value in design

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- Allocated arbitrarily by DBD under ER/EER
- Goodness at
  - Internal/storage level (base relations only)
    - Reducing nulls - obvious storage benefits /frequent
    - Reducing redundancy - for efficient storage/anomalies
  - Conceptual level
    - Semantics of the attributes /single entity:relation
    - No spurious tuple generation /no match Attr,-PK/FK

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## 3.1.03. Initial state

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- Database design
- Universal relation
  - $R = \{A_1, A_2, \dots, A_n\}$
  - Set of functional dependencies  $F$
- Decompose  $R$  using  $F$  to
  - $D = \{R_1, R_2, \dots, R_n\}$
  - $D$  is a decomposition of  $R$  under  $F$

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## 3.1.05. Aims

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- Attribute preservation
  - Union of all decomposed relations = original
- Lossless/non-additive join
  - For every extension, total join of  $r(R_i)$  yields  $r(R)$
  - no spurious/erroneous tuples

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## 3.1.06. Aims (preservation)

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- Dependency preservation
  - Constraints on the database
    - $X \rightarrow Y$  in  $F$  of  $R$ , appears directly in  $R_i$
  - Attributes  $X$  and  $Y$  all contained in  $R_i$
  - Each relation  $R_i$  in 3NF
- But what's a dependency?

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## 3.1.07. Functional dependency

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- Constraint between two sets of attributes
  - Formal method for grouping attributes
- DB as one single universal relation/-literal
  - $R = \{A_1, A_2, \dots, A_n\}$
  - Two sets of attributes,  $X$  subset  $R$ ,  $Y$  subset  $R$
- Functional dependency (FD or f.d.)  $X \rightarrow Y$
- If  $t_1[X] = t_2[X]$ , then  $t_1[Y] = t_2[Y]$ 
  - Values of the  $Y$  attribute depend on value of  $X$
  - $X$  functionally determines  $Y$ , not reverse necessarily

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## 3.1.08. Dependency derivation

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- Rules of inference
- reflexive: if  $X$  implies  $Y$  then  $X \rightarrow Y$
- augment:  $\{X \rightarrow Y\}$  then  $XZ \rightarrow YZ$
- transitive:  $\{X \rightarrow Y, Y \rightarrow Z\}$  then  $X \rightarrow Z$
- Armstrong demonstrated complete for closures

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## 3.1.09. Functional dependency

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- If  $X$  is a key (primary and/or candidate)
  - All tuples  $t_i$  have a unique value for  $X$
  - No two tuples  $(t_1, t_2)$  share a value of  $X$
- Therefore  $X \rightarrow Y$ 
  - For any subset of attributes  $Y$
- Examples
  - $SSN \rightarrow \{Fname, Minit, Lname\}$
  - $\{Country\ of\ issue, Driving\ license\ no\} \rightarrow SSN$
  - $Mobile\ area\ code \rightarrow Mobile\ network$  (not anymore)

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## 3.1.10. Process

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- Typically start with set of f.d.,  $F$ 
  - determined from semantics of attributes
- Then use IR1,2,3 to infer additional f.d.s
- Determine left hand sides ( $X$ s)
  - Then determine all attributes dependent on  $X$
- For each set of attributes  $X$ ,
  - determine  $X^+$  :the set of attributes f.d'ed by  $X$  on  $F$

### 3.1.11. Algorithm

- Compute the closure of  $X$  under  $F$ :  $X^+$ 
  - $xplus = x$ ;
  - do
    - $oldxplus = xplus$ ;
    - for (each f.d.  $Y \rightarrow Z$  in  $F$ )
      - if ( $xplus$  implies  $Y$ ) then
      - $xplus = xplus \text{ union } Z$ ;
  - while ( $xplus \neq oldxplus$ );

### 3.1.12. Function dependency

- Consider a relation schema  $R(A,B,C,D)$  and a set  $F$  of functional dependencies
  - Aim to find all keys (minimal superkeys),
  - by determining closures of all possible  $X$  subsets of  $R$ 's attributes, e.g.
    - $A^+, B^+, C^+, D^+$ ,
    - $AB^+, AC^+, AD^+, BC^+, BD^+, CD^+$
    - $ABC^+, ABD^+, BCD^+$
    - $ABCD^+$

### 3.1.13. Worked example

- Let  $R$  be a relational schema  $R(A, B, C, D)$
- Simple set of f.d.s
- $AB \rightarrow C, C \rightarrow D, D \rightarrow A$
- Calculate singletons
  - $A^+, B^+, C^+, D^+$ ,
- Pairs
  - $AB^+, AC^+, \dots$
- Triples
  - and so on

### 3.1.14. Worked example

- Compute sets of closures

- $AB \rightarrow C, C \rightarrow D, D \rightarrow A$
  - 1. Singletons
    - $A^+ \rightarrow A$
    - $B^+ \rightarrow B$
    - $C^+ \rightarrow CDA$
    - $D^+ \rightarrow AD$
  - Question: are any singletons superkeys?
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### 3.1.15. F.d. closure example

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- 2. Pairs (note commutative)
    - $AB^+ \rightarrow ABCD$
    - $AC^+ \rightarrow ACD$
    - $AD^+ \rightarrow AD$
    - $BC^+ \rightarrow ABCD$
    - $BD^+ \rightarrow ABCD$
    - $CD^+ \rightarrow ACD$
  - Superkeys?
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### 3.1.16. F.d. closure example

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- 3. Triples
    - $ABC^+ \rightarrow ABCD$
    - $ABD^+ \rightarrow ABCD$
    - $BCD^+ \rightarrow ABCD$
  - Superkeys? Minimal superkeys (keys)?
  - 4. Quadruples
    - $ABCD^+ \rightarrow ABCD$
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### 3.1.17. F.d. closure summary

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- Superkeys:
  - $AB, BC, BD, ABC, ABD, BCD, ABCD$
- Minimal superkeys (keys)
  - $AB, BC, BD$