

3.2. Normal Forms

In this lecture we look at...

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3.2.01. Orthogonal design

- Information Principle:
 - The entire information content of the database is represented in one and only one way, namely as explicit values in column positions in tables
- Implies that two relations cannot have the same meaning
 - unless they explicitly have the same design/attributes (including name)

3.2.02. Normalization

- Reduced redundancy
- Organised data efficiently
- Improves data consistency
 - Reduces chance of update anomalies
 - Data duplicated, then updated in only one location
- Only duplicate primary key
 - All non-key data stored only once
- Data spread across multiple tables, instead of one Universal relation R

3.2.03. Good or bad?

- Depends on Application
- OLTP (Transaction processing)
 - Lots of small transactions
 - Need to execute updates quickly
- OLAP (Analytical processing/DSS)
 - Largely Read-only
 - Redundant data copies facilitate Business Intelligence applications, e.g. star schema (later)
- 3NF considered 'normalised'
 - save special cases

3.2.04. Normal forms (1NF)

- First Normal form (1NF)
 - Disallows multivalued attributes
 - Part of the basic relational model

- Domain must include only atomic values
 - simple, indivisible
- Value of attribute-tuple in extension of schema
- $t[A_i] \in (A_i)$

3.2.05. Normalisation (1NF)

- Remove fields containing comma separated lists
- Multi-valued attribute (AMV) of R_i
- Create new relation (R_{NEW})
 - with FK to $R_i[PK]$
 - $R_{NEW}(UID, AMV, FK_I)$

3.2.06. Normalisation (1NF)

- On weak entity
- On strong entity

PersonDietWeak

Person	Dietary requirements
Bob	No eggs
Fred	No meat, diary or gluten
Jamal	No fish

->

PersonDietWeak1NF

Person	Dietary requirements
Bob	No eggs
Fred	No meat
Fred	No dairy
Fred	No gluten
Jamal	No fish

PersonDietStrong

ID	Person	Dietary requirements
1	Bob	No eggs
2	Fred	No meat, diary or gluten
3	Jamal	No fish

->

PersonDiet1NFK

ID	Person	DietFK
1	Bob	1
2	Fred	2
3	Jamal	3

FK(DietFK) to Diet1NFK(ID)

Diet1NFK

ID	Requirement
1	No eggs
2	No meat
2	No dairy
2	No gluten
3	No fish

3.2.07. Normal forms (2NF)

- A relation R_i is in 2NF if:
 - Every nonprime attribute A in R_i is
 - fully functionally dependent on 1y key of R
- If all keys are singletons, guaranteed
- If R_i has composite key are
 - all non-key attributes fully functionally dependent
 - on all attributes of composite key?

3.2.08. Normal forms (2NF)

- Second normal form (2NF)
 - Full functional dependency $X \rightarrow Y$
 - $A \in X, (X - \{A\}) \nrightarrow Y$
- If any attribute A is removed from X
- Then $X \rightarrow Y$ no longer holds
 - Partial functional dependency
 - $A \in X, (X - \{A\}) \rightarrow Y$

3.2.09. Normal forms (2NF)

- In context
 - Not 2NF: $AB \rightarrow C, A \rightarrow C$
 - $AB \rightarrow C$ is not in 2NF, because B can be removed
 - Not 2NF: $AB \rightarrow CDE, B \rightarrow DE$
 - because attributes D&E are dependent on part of the composite key (B of AB), not all of it

3.2.10. Normalisation (2NF)

- Split attributes not depended on all of the primary key into separate relations

CarDealers					
carID	model	dealerID	dealerPostCode	listPrice	cost
1	316	1	BS8 1UB	12595	11995
1	316	2	BS16 6LR	12595	12050
2	320d	1	BS8 1UB	17995	16000

->

Car		
carID	model	listPrice
1	316	12595
2	320d	17995

Dealer	
dealerID	dealerPostCode
1	BS8 1UB
2	BS16 6LR

DealerCarCosts		
carID	dealerID	cost
1	1	11995
1	2	12050
2	1	16000

A	B	C	D	E	F
A → B					
C → D					
AC → F					

3.2.11. Normal forms (BCNF)

- Boyce-Codd Normal form (BCNF)
 - Simpler, stricter 3NF
 - $BCNF \rightarrow 3NF$
 - 3NF does not imply BCNF
 - nontrivial functional dependency $X \rightarrow Y$
 - Then X must be a superkey

3.2.12. Normal forms (3NF)

- Third Normal form (3NF)
- Derived/based on transitive dependency

- For all nontrivial functional dependencies
 $X \rightarrow A$
- Either X must be a superkey
- Or A is a prime attribute
 (member of a key)

3.2.13. Normal forms in context

- $AB \rightarrow C, C \rightarrow D, D \rightarrow A$
- In context
 - 3NF? Yes
 - Because AB is a superkey and
 - D and A are prime attributes
 - BCNF? No
 - Because C and D are not superkeys
 - (even though AB is)

3.2.14. Normalisation (3NF)

- CarMakes not in 3NF because:
 - singleton key A
 - non-trivial fd $B \rightarrow C$
 - B not superkey, C not prime attribute

CarMakes					Car	
carID	make	makeHeadOffice		carID	make	
1	Audi	NW1 8TQ	->	1	Audi	
2	BMW	SW4E 9GG		2	BMW	
3	Ford	LE17 9EE		3	Ford	
				FK(make) to Make(make)		
				Make		
				make	makeHeadOffice	
				Audi	NW1 8TQ	
				BMW	SW4E 9GG	
				Ford	LE17 9EE	

A B C
 A -> BC
 B -> C